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1	microclimOz – a microclimate data set for Australia, with example applications
2	
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5	

## 6 Abstract

7 Many problems in pure and applied ecology require the quantification of above and below 8 ground microclimates. Here I describe a data set of hourly microclimates for the Australian 9 continent, simulated from the years 1990 to 2017 across a grid of 1893 locations ~60 km apart. The data were generated with the NicheMapR microclimate model, driven by 0.05° 10 gridded daily meteorological forcing data (air temperature, wind speed, humidity, cloud 11 12 cover, rainfall), 0.025° elevation and 0.008° soil texture data. The above ground microclimate variables include horizontal plane solar radiation, solar zenith angle, sky temperature (from 13 which down-welling longwave radiation can be computed), air temperature, relative humidity 14 15 and wind speed at 1 cm and 120 cm height, and snow depth. The below ground variables include soil temperature, pore humidity, soil moisture and soil water potential for 0, 2.5, 5, 16 10, 15, 20, 30, 50, 100 and 200 cm below ground. The computations are for four shade levels 17 (0, 50, 70 and 90%). The data set can be used for a wide variety of applications, including the 18 19 computation of heat and water budgets of organisms, the potential for vegetation growth, and 20 the computation of stress and growth indices. The use of daily forcing data also allows assessments of the consequences of extreme events including heat waves. Example 21 applications are provided for computing plant growth potential, grasshopper egg 22 23 development, lizard body temperature and mammalian energy and water requirements.

24

Key words: microclimate, NicheMapR, mechanistic niche modelling, soil temperature,
 soil moisture, biophysical ecology

27

#### 28 Introduction

Microclimates are the environments experienced by organisms; in terrestrial environments 29 they are typically the 'climates' near the ground or beneath it (Geiger 1950; Bramer et al. 30 31 2018; Kearney 2018). Increasingly, ecologists are in need of microclimatic data to make 32 mechanistic connections between climatic and phenotypic variability (Bennie et al. 2014; 33 Bramer et al. 2018). At one extreme, microclimatic variables can be used to solve energy and mass budgets for organisms (Porter and Gates 1969; Kearney, Munns, et al. 2018) and 34 thereby estimate their body temperature (and hence biological rates) and water loss (and 35 hence hydration state). At the other extreme, microclimatic data can be used to derive 36 descriptive indices of habitat suitability (Varner and Dearing 2014). 37

38

There is a growing set of computational tools for calculating different aspects of 39 microclimates and mesoclimates (Bennie et al. 2008; Ashcroft and Gollan 2012; Levy et al. 40 2016; Kearney and Porter 2017; Maclean et al. 2018). Modelled microclimatic data sets have 41 also been developed at a global scale (Kearney, Isaac, et al. 2014) and for North America 42 (Levy et al. 2016). Here I present a comprehensive microclimate data set for Australia, 43 44 computed using the recently released NicheMapR microclimate model (Kearney and Porter 2017). This model has been extensively tested for its ability to predict both soil temperature 45 (Kearney, Shamakhy, et al. 2014) and soil moisture (Kearney and Maino 2018) across 46 47 Australia, with accuracy to within 10% of measurement values. A data set of microclimatic output from this model would thus be valuable for a wide range of pure and applied problems 48 in ecology, conservation, agriculture, health and pest management in the Australian context. 49

There are substantial computational and storage challenges to producing microclimatic data 51 52 sets; microclimates must be provided on fine (at least hourly) temporal scales to be biologically relevant, and they change strongly through space on the scale of centimetres. The 53 approach taken here is to provide simulated microclimate data at high temporal resolution 54 based on long-term historical (daily) forcing data, at a sufficiently large sample of sites to be 55 56 able to make coarse inferences of distribution limits of species at the continental scale. Accordingly, it comprises a 28 year, hourly series of key above and below ground conditions 57 58 for 1836 locations evenly sampled from ~5 km resolution interpolated daily weather data and ~90 m resolution estimated soil properties. Conditions are provided for four shade levels, 59 allowing spatially implicit behavioural thermoregulation to be considered. Although based on 60 61 a 5 km resolution climatology (adjusted to a 250 m resolution elevation grid), the model predicts the potential spatial variation at the cm scale in terms of vertical changes (height 62 above surface, depth below ground) and horizontal changes (between different shade levels). 63 64

This article describes the data set and provides example analyses ranging from simple
plotting of the raw data, to behaviourally-explicit calculations of animal activity, energy and
water budgets.

68

#### 69 Methods

70 The NicheMapR microclimate model, now completely open source

71 <u>https://github.com/mrke/NicheMapR</u>, is described fully in Kearney and Porter (2017).

72 Briefly, it comprises a first-principles solar radiation module, algorithms for hourly

73 interpolation of vertical profiles of air temperature, wind speed and relative humidity,

74 algorithms for computing terrain, cloud and vegetation shading influences on short- and long-

75 wave radiation streams, a full heat and water budget for the soil profile including a water infiltration model, and a coupled snow model. It requires as input the daily minima and 76 77 maxima (or hourly observations if available) of air temperature, wind speed and relative humidity at a reference height, as well as cloud cover, substrate solar absorptivity and long-78 wave emissivity, soil thermal and hydraulic properties (depth-specific, including vegetation 79 characteristics), and terrain characteristics (including elevation, slope, aspect, hill shade). It 80 81 produces hourly output of the soil temperature, humidity, fractional moisture and water 82 potential profiles, above ground air temperature, wind speed and relative humidity at a user-83 specified height, solar radiation and zenith angles, and sky temperature (accounting for cloud cover and shading) for determining down-welling longwave radiation. 84

85

To generate the microclimOz data set, the model, was run as described in Kearney and Maino 86 (2018), from the years 1990 to 2017 inclusive across a grid of 1836 locations approximately 87 60 km apart. At each site, daily forcing data from the Australian Water Availability Project 88 (AWAP) (Jones et al. 2009) on minimum and maximum air temperature, 9am and 3pm 89 vapour pressure, total solar radiation and total precipitation was extracted, as well as 1.2 m 90 wind speed from a daily gridded product (McVicar et al. 2008). It is important to note that 91 92 the forcing air temperature, vapour pressure, precipitation and wind speed data are 93 interpolations of weather station data. Minimum and maximum air temperatures were adjusted with respective lapse-rate corrections [0.0039 °C m<sup>-1</sup> and 0.0077 °C m<sup>-1</sup>, Ruddell et 94 al. (1990)] from the 0.05° AWAP elevation grid to a 9 arc second DEM (Hutchinson et al. 95 2008), and depth-specific soil textural and density properties were extracted from the 3 arc 96 97 second Soil and Landscape Grid of Australia (SLGA) data set (Viscarra Rossel et al. 2015, 2015) and converted to hydraulic properties with a pedotransfer function (Cosby et al. 1984) 98 as described more fully in Kearney and Maino (2018). Flat ground was assumed. Substrate 99

100	solar reflectivity was fixed at 0.15 and long-wave emissivity was extracted from a monthly
101	0.05° data set (Seemann et al. 2008) and splined to a daily time step. All the forcing data and
102	terrain/soil variables for each location (minimum and maximum daily air temperature,
103	minimum and maximum relative humidity, wind speed, rainfall, elevation, soil hydraulic
104	properties), and the coordinates of the grid of 1836 points, are available in the data repository.
105	For computations of solar radiation, an aerosol attenuation profile is required (McCullough
106	and Porter 1971), which was based on data extracted for a single location from the eastern-
107	most 5 degree resolution pixel of the Global Aerosol Data Set (GADS) (Koepke et al. 1997).
108	All analyses were run in the statistical package R (R Development Core Team 2012).
109	
110	Results and Discussion
111	
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the user can employ interpolation functions to obtain estimates at intermediate shade level or

heights above the ground between 1cm and 120 cm if necessary. Interpolation of wind speed, *V*, and air temperature, *T*, between these two heights to any other height *z*, assuming neutral
conditions (i.e. no strong free convection), can be achieved with the following equations
(Sellers 1965)

129

130 
$$V_z = (V^*/k) \ln (\frac{z}{z_0} + 1)$$

131 
$$\frac{V_z}{V_r} = \frac{T_z - T_s}{T_r - T_s} = \frac{\ln\left(\frac{Z}{Z_0} + 1\right)}{\ln\left(\frac{Z_r}{Z_0} + 1\right)}$$

132

where  $V^*$  is the shear velocity (m s<sup>-1</sup>),  $V_z$  is the wind speed at the new height,  $V_r$  is the reference wind speed,  $z_r$  is the reference height (m),  $z_0$  is the assumed surface roughness (0.004 m in the present case),  $T_s$  is the surface temperature (°C),  $T_r$  is the air temperature a the reference height,  $T_z$  is the air temperature at the new height, and k is the Karman constant (0.4).

138

Some example plots and applications are provided below, with all the associated code anddetailed explanations provided in the online supplement Appendix S1.

141

## 142 Example output grids

143 Figure 1a illustrates output grids for four different variables on the 1<sup>st</sup> January 2009. This

includes the zenith angle of the sun, which is  $0^{\circ}$  when the sun is directly overhead and  $90^{\circ}$ 

- 145 when it is below the horizon. This variable is computed directly by the NicheMapR
- 146 microclimate model following the equations in McCullogh and Porter (1971) and can be used
- 147 to assess the times of sunrise and sunset, as well as the intensity of solar radiation in

situations other than horizontal planes. In Figure 1 it can be seen that in early January the sunis most directly overhead around the tropic of Capricorn.

150

The solar radiation grid in Figure 1a shows high intensity solar radiation (> 1000 W m<sup>-2</sup>) over much of Australia but cloud cover in the north-eastern regions has reduced the radiation levels substantially. The NicheMapR microclimate model computes clear sky solar radiation from first principals (McCullough and Porter 1971) but the results are then adjusted according to cloud cover which was inferred via the satellite-derived solar radiation from the AWAP data set.

157

The two other panels in Figure 1 show the wind speed and air temperature at 1 cm above the ground – a typical height for a small lizard, a large terrestrial insect, or a small forb. The wind speed at this height is strongly reduced by friction with the ground, and the air temperature at this time of the day is much higher than what would be measured by a weather station.

162

#### 163 <u>Example output time-series</u>

164 Figure 2 shows some examples of hourly output extracted for the two locations indicated on

the maps in Figure 1: near Old Andado Station in the Simpson Desert of the Northern

166 Territory (Fig. 2a & b), and near Bendigo, Victoria (Fig. 2c & d), for 2009. Each file in the

167 microclimOz data set comprises 8760 layers (non-leap years), one for each hour of the day,

and such time series can be extracted for any of the 1836 locations. Appendix S1 (section 2)

169 includes a function for extracting a time series of data for a given location.

170

171 It is clear from the air temperature plots in Figure 2a & c how much the 1cm air temperature
172 differs from the 120 cm (weather station) air temperatures. Daily minimum and maximum air

temperatures were used to generate the microclimates, with the internal daily interpolation
algorithm of the NicheMapR microclimate model providing an estimate of the daily cycle.
There was a major heat wave in 2009 in early February in southern Australia, which is
evident for the Bendigo figures. Also note the substantial reduction in temperature variation
with depth for the soil temperature plots (Fig. 2b & d).

178

#### 179 <u>Computing plant growth potential</u>

Figure 3 provides an example time series plot of soil water potential, a metric which 180 181 quantifies the ability of the soil to pull moisture out of e.g. a plant's roots or a lizard's eggs (water potential is for water flow like temperature is for heat flow - the steeper the gradient, 182 the greater the flow). Water potential, which never rises above zero, is a function of both the 183 soil moisture content and the texture (particle size, as dictated by the % sand, silt and clay). 184 The more negative the water potential, the greater the pulling power. The microclimOz 185 calculations used soil texture data extracted from the 90 m Soil and Landscape Grid of 186 Australia for the nearest pixel to a given grid point. Grids of the actual soil hydraulic 187 properties used (19 depth-specific values per site) are included in the data set. 188

189

Figure 3 shows soil water potential data at 10 cm depth as well as the results of a calculation of the cumulative summation of time above the permanent wilting point, assuming this to be -1500 J kg<sup>-1</sup> (resetting whenever the wilting point is reached). It thus provides a prediction of cumulative plant growth potential, which should correlate with the greenness of vegetation having roots around 10 cm deep (this calculation could be extended by including a temperature dependence to growth). There is a stark contrast between the temperate and arid site.

197

Figure 4 shows the sums of the time above the permanent wilting point across all 8760 grids (i.e. hours of the year), thus providing an index of plant growth potential for 2009. Note the two patches of very low values in inland Western Australia - these are areas of very uncertain rainfall due to a dearth of weather stations, and are masked as zero in the AWAP data set.

202

## 203 *Computing insect egg development*

204 Soil temperature estimates are important for many applications, one being the estimation of 205 insect egg development (Horton 2012). Figure 5 shows estimates of egg development for a 206 native Australian matchstick grasshopper, Warramaba virgo. This grasshopper is univoltine, hatching in spring, living and feeding on shrubs (especially Acacia, Senna), and laying 207 batches of eggs about 3 cm underground through the summer, as described in detail in 208 209 Kearney et al. (2018). In Figure 5 its egg development is simulated at 5 cm depth assuming oviposition times of 0, 30 and 60 days from the start of the simulation (1st January). Note that 210 211 at both sites some eggs would hatch before winter, but this does not happen in nature due to a summer diapause mechanism (Kearney, Deutscher, et al. 2018). Figure 6 shows the 212 cumulative development time across all hours of 2009, indicating unsuitable conditions for 213 egg development in coastal south-eastern Australia and Tasmania. 214

215

## 216 *Computing ectotherm body temperature*

Figure 7 provides an example of the use of the microclimOz data set to compute the heat budget of a lizard, based on the biology of the military dragon *Ctenophotus isolepis*. This lizard occurs in hummock grasslands (*Triodia*) on sand plains throughout inland Australia and forages in the open for ants (Losos 1987). The example results from computations of the potential body temperature of the lizard in either the 0% or 90% shade scenarios, using the voluntary foraging limits to choose between environments, i.e. to behaviourally

thermoregulate. This example uses a very simplified heat budget model of a lizard provided
in Gates (1980). The analysis indicates summertime constraints on foraging in open ground
due to overheating in both locations, and predicts that the Bendigo location would preclude
winter activity. Figure 8 illustrates the same calculations made across the whole spatial extent
of the microclimOz data set at midday on 1<sup>st</sup> January 2009. The cloud cover indicated in
Figure 1 is permitting cool enough conditions for activity in the north east of the country.

230 *Computing endotherm metabolic heat and water requirements* 

In this final example, a heat budget is solved for an endotherm (mammal or bird) based on the equations of Porter and Kearney (2009) for an ellipsoid-shaped endotherm. This analytical solution, which is included in the NicheMapR package as function 'ellipsoid', is relevant for 'black body' radiation environments where the air and radiant temperatures are the same, as is approximated in a room, a house, a cave or very deep shade.

236

The 'ellipsoid' function finds the metabolic heat generation required to attain a specified core body temperature given the air temperature and wind speed of the environment and the size, shape and insulation (fur/feather depth and thermal conductivity) of the animal. The resulting solution may be above or below the basal metabolic rate for the animal in question. In the former case, a precise estimate is obtained of the additional energy expenditure required to for the animal to keep warm. In the latter case, an estimate is obtained of how much heat needs to be lost (typically through evaporation, via panting or sweating) to keep cool.

244

This particular example is based on a small rodent, the ash-grey mouse *Pseudomys albocinereus*, foraging under heavily shaded (90%) conditions or retreating to a 50 cm deep
burrow. The physiology is based on Barker *et al.* (2012) who found the species to exhibit

hyperthermia in hot conditions, allowing body temperature to rise to 37.8 °C when air 248 temperature rose above 34 °C. A test of the ability of the model to capture laboratory 249 250 observations is provided in Appendix S1. The analysis presented in Figure 9 incorporates changing behavioural states (posture, piloerection, hyperthermia) based on the experienced 251 air temperature, as described fully in Appendix S1. Figure 10 shows the energy and water 252 requirements predicted in 90% shade at the surface, on the same hour of the same day as used 253 254 in the lizard analysis in Figure 7. The mouse is inferred to be significantly water stressed if 255 restricted to the surface in the inland regions of Western Australia, losing up to 4% of their 256 body mass in water per hour to remain cool.

257

#### 258 Summary

The microclimOz data set provides the key microclimatic variables for computing the 259 fundamental processes of heat and mass exchange between terrestrial organisms and their 260 environments at the appropriate temporal resolution (hours). The calculated microclimatic 261 conditions are based on the highest available spatial resolution in gridded meteorological and 262 soil variables, and are provided a sufficient number of locations to provide a picture of factors 263 potentially limiting the broad distributions of species. Because they are based on daily 264 meteorological grids, they can be used to infer the effects of extreme conditions (Kearney et 265 al. 2012; Briscoe et al. 2016; Morán-Ordóñez et al. 2017). Following preliminary analyses 266 with the microclimOz data set, more targeted analyses (e.g. at different locations, higher 267 resolution, different topographic settings, future climates) can be undertaken using the 268 NicheMapR microclimate model directly (Kearney and Porter 2017). 269

270

The predictions incorporate a broad range of physical processes including interactionsbetween heat and water flow through layered soil, buffering effects of snow, vertical

273 gradients in air temperature, wind speed and relative humidity, based on fully physical

equations. However, it must be born in mind there is no incorporation of local terrain effects

(slope, aspect, hill shade) and the soil textural properties are drawn from the particular 90m

resolution pixel for each point. With these limitations in mind, the data set should provide a

277 way to rapidly compute a range of sophisticated metrics relating to the potential distribution

and abundance of Australian organisms.

279

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284

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 Table 1. The microclimOz data sets, each containing grids of hourly values for one year. For the naming convention, the part in italics denotes the particular scenario as follows: *year* is the year simulated (1990 to 2017), *sh* is shade level in % (0, 50, 70, 90), *depth* is depth in cm below ground (0, 2.5, 5, 10, 15, 20, 30, 50, 100 & 200 cm).

variable	units	sublevels	naming convention	example
solar zenith angle	degrees	-	ZEN.nc or ZEN_leap.nc	ZEN.nc (solar zenith angles for non-leap years)
solar radiation	W m⁻²	year	SOLR_ <i>year</i> .nc	SOLR_1990.nc (solar radiation for 1990)
sky radiant temperature	°C	year, shd	TSKYC_ <i>sh</i> pctShade_ <i>year</i> .nc	TSKYC_50pctShade_1990.nc (sky radiant temp. for
				50% shade, 1990)
air temp. at 120 cm	°C	year	TA120cm_ <i>year</i> .nc	TA120cm_1990.nc (air temp. at 120 cm for January)
air temp. at 1cm	°C	year, shd	TA1cm_ shpctShade _ year.nc	TA1cm_rock_50_1990.nc (air temp. at 1cm for 50%
				shade for 1990)
wind speed at 10 m	m s⁻¹	year	V120cm_ <i>year</i> .nc	V10m_1990.nc (wind speed at 10m for January)
wind speed at 1cm	m s⁻¹	year	V1cm_ <i>year</i> .nc	V1cm_1990.nc (wind speed at 1cm for January)

relative humidity at 1.2 m	%	year	RH120cm_ <i>year</i> .nc	RH120cm_1990.nc (relative humidity at 120cm for
				January)
relative humidity at 1cm	%	year, shd	RH1cm_ <i>sh</i> pctShade _ <i>year</i> .nc	RH1cm_50_1990.nc (relative humidity at 1cm for
				sand substrate and 50% shade for January)
snow depth	cm	year, shd, depth	SNOWDEP_ <i>sh</i> pctShade _	SNOWDEP_50_1990.nc (snow depth for 50% shade
			year.nc	1990)
soil temperature at specific	°C	year, shd, depth	soil <i>depth</i> cm_ <i>sh</i> pctShade _	soil5cm_50_1990.nc (substrate temperature at 5 cm
depths			year.nc	depth for 50% shade 1990)
soil water potential specific	J kg <sup>-1</sup>	year, shd, depth	pot <i>depth</i> cm_ <i>sh</i> pctShade _	pot5cm_50_1990.nc (soil water potential at 5 cm
depths			year.nc	depth for 50% shade 1990)
soil moisture at specific depths	%	year, shd, depth	moist <i>depth</i> cm_ <i>sh</i> pctShade _	moist5cm_50_1990.nc (soil moisture at 5 cm depth
			year.nc	for 50% shade 1990)
soil humidity at specific depths	%	year, shd, depth	humid <i>depth</i> cm_ <i>sh</i> pctShade _	humid5cm_50_1990.nc (soil humidity at 5 cm depth
			year.nc	for 50% shade 1990)

**Figure 1.** Example mapped outputs of a) solar zenith angle, b) horizontal plane solar radiation, c) 1 cm wind speed and d) 1 cm air temperature (0% shade) extracted from the microclimOZ data set for a particular hour. The two black dots indicate the sites for which example time series are extracted in Figs. 2, 3, 5, 7 & 9.

**Figure 2.** Hourly trajectories of 1 cm and 120 cm air temperature (a, c) and 0 cm, 5 cm and 50 cm soil temperature (b, d) extracted for two sites for the year 2009 under 0% shade. The site locations are indicated in Figure 1.

**Figure 3.** Hourly soil water potential estimates from the microclimOz data set for 2009 (0% shade) at two locations (a, c) and associated estimates of cumulative growth potential (b, d) based on time above the permanent wilting point (PWP) of -1500 J/kg.

**Figure 4.** Summation for 2009 of cumulative time spent above the permanent wilting point (-1500 J kg<sup>-1</sup>) based on microclimOz estimated 10 cm soil water potential (0% shade).

**Figure 5.** Development rate of the matchstick grasshopper *Warramaba virgo* estimated from microclimOz estimated 5 cm soil temperature (0% shade) at two sites in 2009 (a, c) and cumulative developmental completions assuming oviposition dates days 1, 30 and 60 of that year (b, d).

**Figure 6.** Cumulative development of the matchstick grasshopper *Warramaba virgo* estimated over the year 2009 based on microclimOz soil temperature estimates at 5 cm (0% shade).

**Figure 7.** Estimates of potential body temperature (a, c), and activity time (b, d) for the military dragon *Ctenophorus isolepis* at two locations in 2009. Estimated body temperature in panels a & c includes that expected if the lizard stayed in full sun (Tb\_sun), in full shade shade (Tb\_shade), as well as the final body temperature after a thermoregulation algorithm is applied (Tb\_treg). Gaps along horizontal hourly lines indicate when daytime activity in the sun is not thermally possible. These calculations are based on a simple biophysical model of heat exchange for a lizard (Gates 1980, p.349) and driven by microclimOz estimates of solar radiation, 1 cm air temperature, soil surface temperature, sky radiant temperature, 1 cm wind speed and solar zenith angle under 0% and 90% shade. Daytime shade requirements are also indicated (dark green vertical lines in a, c), with activity assumed to occur only in the open.

**Figure 8.** Estimates of a) potential body temperature in the open, b) activity state (green = active), c) body temperature after thermoregulation, and d) shade required (green = in shade) for the military dragon *Ctenophorus isolepis* at midday on  $1^{st}$  January 2009. These calculations are based on a simple biophysical model of heat exchange for a lizard (Gates 1980, p.349) and driven by microclimOz estimates of solar radiation, 1 cm air temperature, soil surface temperature, sky radiant temperature, 1 cm wind speed and solar zenith angle under 0% and 90% shade.

**Figure 9.** Estimated energy requirements (multiplicative increase on basal metabolic rate) and water requirements (% of hydrated body mass lost per hour) for the ash-grey mouse *Pseudomys albocinereus* at two depths (on the surface and in a burrow at 50 cm) and two locations in 2009. These calculations are based on a simple ellipsoid model of heat exchange for an endotherm (Porter and Kearney 2009) and driven by microclimOz estimates of either

air temperature, wind speed and relative humidity at 1 cm (90% shade), or 50 cm soil temperature (assuming  $0.1 \text{ m s}^{-1}$  wind speed and 100% relative humidity).

**Figure 10.** Estimated energy requirements (a & b) and water requirements (c & d) for the ash-grey mouse *Pseudomys albocinereus* in deep (90%) shade on the surface at midday on 1<sup>st</sup> January 2009. These calculations are based on a simple ellipsoid model of heat exchange for an endotherm (Porter and Kearney 2009) and driven by microclimOz estimates of 1 cm air temperature (90% shade), 1 cm wind and relative humidity. The sudden drops in metabolic rate, which are particularly apparent in the burrow simulations, represent simulation of torpor (see Appendix I).









Old Andado, Simpson Desert, 2009





Old Andado, Simpson Desert, 2009

# Figure 4.







Old Andado, Simpson Desert, 2009





# developmental completions

longitude

Figure 7.



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Figure 10.

