

Lindenmayer, D.B., Blanchard, W., Blair, D., McBurney, L., Banks, S.C. (2016) Environmental and human drivers influencing large old tree abundance in Australian wet forests. *Forest Ecology and Management*, Vol. 372, pp. 226-235

DOI: <https://doi.org/10.1016/j.foreco.2016.04.017>

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**Environmental and human drivers influencing large old tree abundance in Australian wet forests**

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**20 Abstract**

21 Large old trees are keystone structures in numerous ecosystems globally. They play a  
22 wide range of critical ecological roles and therefore quantifying the factors influencing their  
23 distribution and abundance therefore has significant management implications. Yet, there are  
24 few ecosystems worldwide for which quantitative statistical models of the factors affecting  
25 large old tree distribution and abundance have been produced. We constructed a suite of such  
26 models using cross-sectional data on the occurrence of large old hollow-bearing trees  
27 gathered in 2015 on 166 sites, each of 1 ha in size within the montane ash forests of the  
28 Central Highlands of Victoria, south-eastern Australia. Our analyses included two broad  
29 groups of models, those for: (1) the overall abundance of large old hollow-bearing trees at a  
30 site, and (2) the abundance of large old hollow-bearing trees in four different morphological  
31 states of decay. These were large old living trees, large old hollow-bearing trees deemed  
32 potentially suitable for marsupial gliders, large old hollow-bearing trees deemed potentially  
33 suitable for non-gliding marsupial possums, and large old collapsed hollow-bearing trees.

34 Most of the models we built encompassed a combination of covariates encompassing  
35 environmental factors (such as elevation and topographic wetness), human disturbance (e.g.  
36 land tenure), and natural disturbance (wildfire). The overall total abundance of large old  
37 hollow-bearing trees (irrespective of morphological form) was greatest at unburned sites,  
38 within stands of old-growth forest, within reserves, and on wet sites (as reflected by a  
39 topographic wetness index). Conversely, sites in young forests and sites subject to moderate  
40 or high severity fire supported the highest abundance of collapsed large old hollow-bearing  
41 trees.

42 Our results demonstrate that different sets of environmental factors and attributes  
43 reflecting human disturbance, and natural disturbance affect the abundance of different  
44 morphological forms of large old hollow-bearing trees. Therefore, different parts of

45 landscapes are most suitable for different kinds of large old hollow-bearing trees. The  
46 findings of this study can help direct management toward places where actions to recover  
47 populations of large old hollow-bearing trees are needed and/or are most likely to be  
48 effective, such as for conserving cavity-dependent animals.

49

50 **Keywords:** Cavity trees, snags, stags, old-growth forests, regrowth forests, fire, logging,  
51 montane ash forests, tree distribution

52

### 53 **1.1 Introduction**

54 Large old trees are a critical resource in a wide range of ecosystems globally, ranging  
55 from forests, woodlands and deserts to agricultural landscapes and urban environments  
56 (Lindenmayer et al., 2014b). They have an array of key ecological roles, including in  
57 hydrological regimes and nutrient cycles as well significantly affecting the distribution and  
58 abundance of populations of plants and animals (Manning et al., 2006; Lindenmayer et al.,  
59 2012b). However, populations of large old trees are declining in a range of ecosystems  
60 worldwide (Lindenmayer et al., 2012b) and understanding the natural and human-derived  
61 factors affecting the distribution and abundance of these keystone ecological structures (*sensu*  
62 Tews et al., 2004; Manning et al., 2006) has therefore never been more critical. At landscape  
63 and local scales, factors like slope, aspect, proximity to watercourses, topographic wetness,  
64 soil depth, and the prevalence of herbivores can be important determinants of the occurrence  
65 of large old trees (Lindenmayer et al., 1991a; Pederson, 2010; Vanak et al., 2011; Thomas et  
66 al., 2013; Ikin et al., 2015). The distribution and abundance of large old trees is also driven by  
67 natural disturbances, such as droughts (Choat et al., 2012; Rowland et al., 2015) and  
68 windstorms (Webb, 1988). For example, recurrent fire also can reduce or eliminate  
69 populations of large old trees from particular areas (Barlow et al., 2003; Lindenmayer et al.,

2012a) as can widespread insect attack (Kashian et al., 2011; Popkin, 2015) and dieback (Palik et al., 2011). Conversely, floods, high-severity fire or periodic relief from high-intensity ungulate grazing can trigger regeneration cohorts that lead to recruitment pulses of trees (George et al., 2005; Moe et al., 2009; Smith et al., 2013). Human management is also a key driver of the distribution and abundance of large old trees; logging, clearing, prescribed fire and other activities like habitat fragmentation and prolonged livestock grazing strongly influences where large old trees are found (Laurance et al., 2000; Nilsson et al., 2006; Kauppi et al., 2015). There also can be significant cultural factors (beyond those associated with natural resource management and human disturbance) that underpin the occurrence of large old trees. For instance, some very large trees occur in particular places because they have been preserved for religious or other cultural reasons (Blicharska and Mikusinski, 2014). The array of natural factors and attributes of human disturbance that can influence the distribution of large old trees operate at different spatial and temporal scales and this can make it challenging to accurately model their occurrence. Nevertheless, such modelling is essential for improved understanding of where such trees occur, why they occur where they do and, in turn, how to best target management actions (Ikin et al., 2015) such as determining the spatial location of refugia for large old trees (Mackey et al., 2012).

In this paper, we quantify the factors influencing the abundance of large old hollow-bearing trees in the montane ash forests of the Central Highlands of Victoria, south-eastern Australia. Large old trees in these ecosystems, particularly Mountain Ash (*Eucalyptus regnans*) trees are among the tallest flowering plants on earth (Ashton, 1975), provide critical habitat for an array of cavity-dependent species (Lindenmayer et al., 2015), and store large amounts of carbon (Keith et al., 2009). Moreover, earlier work has shown that the fastest-growing Mountain Ash trees are also the largest and oldest individuals, even though these

94 trees are often the most decayed. These largest trees continue to produce very large amounts  
95 of biomass up to the time of apical crown collapse (Koch et al., 2015).

96 Montane ash forests are sensitive to the effects of fire, particularly high-severity stand-  
97 replacing conflagrations (Taylor et al., 2014) which can kill trees or damage them, leaving  
98 significant fire scars (McCarthy and Lindenmayer, 1998). Such fires also usually trigger  
99 germination (Smith et al., 2013) of a new cohort of the eucalypts. If the mature overstory  
100 trees survive the fire, the result can be stands with multiple age cohorts of trees comprising  
101 both young post-fire regenerating trees. Large old trees in these disturbed stands are the  
102 living and/or dead biological legacies (sensu Franklin et al., 2000) of the pre-disturbance  
103 stand. Thus, these biological legacies can be of a markedly different age to the surrounding  
104 regenerating stand, although this may not necessarily be the case in pure stands of old-growth  
105 forest when the majority of overstory trees will be of similar age (Lindenmayer et al., 2000).

106 Past work in montane ash forests has documented the rate of collapse of large old  
107 hollow-bearing trees (e.g. Lindenmayer et al., 1997; Lindenmayer et al., 2012a). However,  
108 spatial patterns of overall abundance of large old hollow-bearing trees as well as the factors  
109 affecting such patterns remain poorly understood. Moreover, in common with other kinds of  
110 forest worldwide (e.g. see Cline et al., 1980), large old trees in montane ash forests exist as a  
111 range of morphologically different forms that correspond to trees in different stages of decay  
112 (Figure 1). These morphological forms of trees are important for different elements of the  
113 biota (Lindenmayer et al., 1991b) and also contrast markedly in the amount of carbon that  
114 they store (Keith et al., 2009). However, it is not known whether the abundance of these  
115 different kinds of trees varies across landscapes and in response to different sets of predictor  
116 variables. In particular, the effects on morphological forms of large old hollow-bearing trees  
117 of wildfire, which is a major form of natural disturbance in these ecosystems, (Ashton, 1975;  
118 Lindenmayer et al., 2011) has not previously been documented. Yet, such information on

119 large old hollow-bearing trees is critical for planning management zones that attempt to  
120 integrate wildlife conservation and wood production in montane ash forests (Lindenmayer et  
121 al., 2014a), including the Critically Endangered Leadbeater's Possum (*Gymnobelideus*  
122 *leadbeateri*). We therefore sought to quantify the environmental and human-derived factors  
123 influencing the abundance of large old hollow-bearing trees in the montane ash forests of the  
124 Central Highlands of Victoria. Specifically, we sought to answer two key questions:

125 **Q1. What environmental and human factors influence the abundance of large old**  
126 **hollow-bearing trees?** To address this question, we quantified relationships between the

127 numbers of large old hollow-bearing trees at 166 sites distributed widely across our study  
128 region and an array of potential explanatory variables including topography (measures of  
129 slope, aspect, elevation), topographic wetness, the age of the stand in which these trees are  
130 located, the severity of past fire, and land tenure.

131 **Q2. Do different factors or combinations of factors influence the abundance of different**  
132 **morphological forms of large old hollow-bearing trees?** We sought to quantify the impacts

133 of potential explanatory variables on the abundance at a site of four broad kinds of large old  
134 hollow-bearing trees. These four morphological categories of large old trees encompass  
135 critical stages in large tree development, decay and loss in montane ash forests. We  
136 quantified the fraction of the total number of large old hollow-bearing trees that were in each  
137 category (decay stage). Trees in different stages of decay vary in their suitability as potential  
138 nesting and denning habitat for different species of cavity-dependent vertebrates such as  
139 arboreal marsupials in montane ash forests. The four categories of trees were: (1) Living trees  
140 (forms 1-2 in Figure 1). (2) Collapsed trees (form 9 in Figure 1). (3) Trees in forms 2-4  
141 inclusive. These are trees are ones which previous studies of arboreal marsupials have  
142 indicated will be those most suitable for marsupial gliders such as the Greater Glider  
143 (*Petauroides volans*) and the Yellow-bellied Glider (*Petaurus australis*) (Lindenmayer et al.,

144 1991b). And, (4) Trees in forms 5-8 inclusive which are trees typically most likely to be  
145 occupied by non-gliding possums such as the Critically Endangered Leadbeater's Possum as  
146 well as other species like the Mountain Brushtail Possum (*Trichosurus cunninghamii*)  
147 (Lindenmayer et al., 1991b). Notably, we made no assumption that these kinds of trees would  
148 be occupied, merely that they would be potentially suitable for occupancy by marsupial  
149 gliders or possums. We postulated that different sets of environmental, disturbance and other  
150 factors would influence the abundance of the different morphological kinds of trees (shown  
151 in Figure 1). We made this prediction for a range of reasons but particularly because, for  
152 example, fire may have greater impacts on large old dead trees than large old living trees  
153 given the potential for a conflagration to consume decayed wood (Banks et al., 2011). Thus,  
154 there will be substantial differences in the likely persistence of different morphological types  
155 of trees on sites subject to wildfire.

## 156 **1.2 Methods**

### 157 **1.2.1 Study area and surveys of large old trees**

158 We completed this study in the montane ash forests of the Central Highlands of  
159 Victoria, south-eastern Australia (Figure 2). These forests are dominated by largely  
160 monotypic stands of Mountain Ash and Alpine Ash (*Eucalyptus delegatensis*) or mixed  
161 species stands with Mountain Ash and Shining Gum (*E. nitens*), Messmate (*E. obliqua*),  
162 Mountain Grey Gum (*E. cypellocarpa*), and Manna Gum (*E. viminalis*).

163 Within the montane ash forests of our study region, we have established 166 long-term  
164 ecological research sites (Lindenmayer et al., 2003), each measuring 1 ha and on which we  
165 have completed repeated measurements of the number and condition of large old hollow-  
166 bearing trees over a 19-year period. We defined a hollow-bearing tree as any stem (live or  
167 dead) measuring > 0.5 m in dbh and containing an obvious cavity as determined from careful  
168 visual inspection using a pair of binoculars.

169 All hollow-bearing trees on each site were first measured in 1997 and marked with  
170 permanent metal tags with unique identifying numbers. During surveys, we classified all  
171 hollow-bearing trees on all long-term sites into one of nine forms based on the condition and  
172 level of decay (Figure 1). The trees marked in 1997 were all standing trees in forms 1-8 in  
173 1997, such that there were no trees in form 9 (collapsed) at the commencement of the study.  
174 For the study we report here, we completed a cross-sectional analysis of the site-level  
175 abundance of large old hollow-bearing trees in 2015 only.

### 176 **1.2.2 Covariates for each site**

177 Our 166 long-term ecological research sites varied substantially in a wide range of  
178 attributes. We measured or calculated values for the following eight covariates for each site  
179 for use in constructing statistical models.

180 **Stand age** with four levels: 1=old-growth dominated by trees that germinated before  
181 1900, 2=1939 regrowth (dominated by trees that regenerated as a result of the 1939  
182 wildfires), 3=1960-1990s regrowth (i.e. trees that regenerated between 1960 and 1990), and  
183 4=mixed-aged forest (in which there were two or more distinct age cohorts of trees in the  
184 stand). Our age class classification was based on the dominant age cohort of living trees in a  
185 stand. However, we note that the vast majority of the mixed-aged stands supported an old-  
186 growth component with a number of individual large old living hollow-bearing trees.

187 **Land Tenure** with two levels: state forests managed by the Department of  
188 Environment, Land, Water and Planning for wood production, and formally gazetted large  
189 ecological reserves managed by Parks Victoria.

190 **Forest type** with three levels: 1=Mountain Ash, 2=Alpine Ash, and 3=Mixed species.

191 **Elevation** calculated in meters above sea level with the location based on the centroid  
192 of each site.

193       **Topographic Wetness Index** (TWI) (Moore and Hutchinson, 1991) which is a  
194 measure of relative position in the landscape and thus potential water distribution.  
195 Calculation of TWI requires a Digital Elevation Model (DEM) that has hydrological  
196 integrity, and we used the ANUDEM algorithm (Hutchinson, 2011) to generate a DEM of our  
197 study region at a grid resolution of 20 m. For each cell, the size of the catchment that flows to  
198 it was divided by its width, adjusted geometrically by the aspect of inflow direction. This  
199 ‘specific catchment’ was then divided by the cell’s local slope. Lower values indicate ridges  
200 and upper slopes that have no, or small, contributing catchment, with values increasing for  
201 lower slopes, valley bottoms, and drainage lines.

202       Following Hutchinson (1998), we generated the variables **P** and **Q**, which represent the  
203 eastern and northern components of the unit normal vector to the smoothed DEM surface.  
204 The variables **P** and **Q** are continuous functions of position, with largest magnitude on  
205 steepest slopes, and reducing to zero on flat areas in valley bottoms and on flat ridgetops. **P**  
206 can be viewed as an aspect adjusted slope in the east-west direction (negative values  
207 correspond to westward facing slopes, values near zero correspond to valley bottoms and  
208 ridges and positive values correspond to eastward facing slopes). **Q** can be viewed as the  
209 aspect adjusted slope in the north-south direction (negative values correspond to southward  
210 facing slopes, values near zero correspond to valley bottoms and ridges and positive values  
211 correspond to northward facing slopes). The form of **P** and **Q** used in the current study  
212 broadly reflect topographically varying patterns of solar radiation (Hutchinson, 1998).

213       **Fire severity.** In 2009, a large proportion of the Central Highlands region was burned  
214 in the Black Saturday wildfires (Cruz et al., 2012). A total of 76 of our 166 long-term sites  
215 were burned in these fires. In the two months immediately following these fires, we assessed  
216 the site-level fire severity and assigned a score of 1 (no fire), 2 (moderate severity fire, where  
217 overstory crowns generally remain green), and 3 (high fire severity in which the crowns of

218 the overstory trees had been totally scorched or consumed by the fire). Of the sites burned, 46  
219 experienced a moderate severity fire, and the remaining 30 experienced a high severity fire.

220 Table 1 contains summary data on the values for a subset of the potential explanatory  
221 variables used in our statistical modelling. We tested for collinearity among potential  
222 explanatory variables and found no evidence for strong effects (the highest values for  
223 correlation among variables was 0.12).

### 224 **1.3 Statistical analyses**

225 We modeled the abundance of hollow-bearing trees on a site using six different  
226 distributional assumptions for the response variable. Specifically, we investigated whether or  
227 not the number of large old hollow-bearing trees was best fit by the following distributions  
228 which allow for varying amounts of over-dispersion and excess zeros relative to the Poisson:  
229 (1) Poisson, (2) hurdle Poisson, (3) zero-inflated Poisson, (4) negative Binomial, (5) hurdle  
230 negative Binomial and (6) zero-inflated Negative Binomial. We assessed which distribution  
231 best described the variation in the number of large old hollow-bearing trees using the  
232 WAIC=Widely Applicable Information Criteria (Watanabe, 2010; Gelman et al., 2014;  
233 Vehtari et al., 2015) with all covariates included. Note that for the hurdle and zero-inflated  
234 models, we allowed the covariates to have different effects for the zero and count parts of the  
235 models. Once a distribution was chosen, we then employed a backward elimination procedure  
236 guided by WAIC to assess whether the full model could be simplified further.

237 To assess the effect of spatial dependence on our conclusions for the abundance of  
238 large old trees per site, we fitted a spatial model to the final model selected by the WAIC  
239 criteria. However, due to software limitations, we were able to fit only a Poisson distribution  
240 and hence could not account for over-dispersion or an over-abundance of zeros.

241 Tree form (Figure 1) is an ordinal response variable and hence ordinal logistic  
242 regression (Agresti, 2010) initially appeared to be appropriate for statistical analysis.

243 However, we did not employ ordinal logistic regression for two reasons. First, the choice of  
244 logits that are generally available in software packages did not correspond to our research  
245 hypotheses. Second, preliminary analysis indicated that the proportionality of the odds ratio  
246 assumption did not hold. Therefore, we elected to analyze the following four binary response  
247 variables: the abundance of large old living hollow-bearing trees (forms 1+2), the abundance  
248 of collapsed trees (form 9), the abundance of glider-suitable trees (forms 2-4), and the  
249 abundance of possum-suitable trees (forms 5-8). We used generalized linear mixed model  
250 (GLMM) with a binomial distribution with the same set of covariates as above to each of the  
251 response variables and did a backward elimination guided by WAIC to choose a final model  
252 for interpretation. To account for site-level effects, we included site as a random effect in  
253 each of the models.

254 For all analyses, we set vague prior distributions for all model parameters and we report  
255 posterior medians and the upper and lower values of the 95% credible intervals. We also  
256 report posterior tail areas (Btail) for each model parameter. Btail is the fraction of the  
257 posterior distribution that is to the left (posterior median  $> 0$ ) or the right (posterior median  $<$   
258  $0$ ) of zero. Small values of Btail indicate support for non-zero parameter values, that is,  
259 posterior distributions that are shifted away from zero. Btail values  $< 0.10$  indicate weak  
260 evidence that a parameter is different from zero, values  $< 0.05$  given marginal evidence and  
261 values  $< 0.01$  indicate strong evidence.

262 We completed all analyses in R version 3.2.3 (R Core Team, 2015) using the following  
263 packages: brms (Buerkner, 2015), spBayes (Finley et al., 2015), and CODA (Plummer et al.,  
264 2006).

## 265 **1.4 Results**

### 266 **Q1. What factors influence the abundance of large old hollow-bearing trees at a site?**

267 The abundance of hollow-bearing trees varied from zero to 29 per site. Approximately,  
268 50% of our 166 sites supported two or fewer large old hollow-bearing trees; there were no  
269 such trees on 27 sites, only one large old hollow-bearing tree on 28 sites, and two large old  
270 hollow-bearing trees on 25 sites.

271 The first stage of our modelling revealed that the best fitting model was the negative  
272 binomial model (Table 3), although the zero inflated negative binomial model was a close  
273 second according to WAIC. We present results only for the negative binomial model as the  
274 added complication of the zero-inflated negative binomial was not warranted.

275 Our analyses revealed the model for the abundance of hollow-bearing trees contained  
276 six predictor variables. The abundance of hollow-bearing trees was greatest on sites with high  
277 values for TWI ( $B_{\text{tail}} = 0.004$ ). Sites dominated by old-growth supported the highest  
278 abundance of large old hollow-bearing trees, with mixed aged stands supporting the next  
279 nearest levels of abundance to old-growth (old-growth vs mixed aged,  $B_{\text{tail}} = 0.002$ ; old-  
280 growth vs 1939,  $B_{\text{tail}} < 0.001$ ; old-growth vs 1960-1990s,  $B_{\text{tail}} < 0.001$ ; mixed-aged vs  
281 1939,  $B_{\text{tail}} < 0.001$ ; mixed-aged vs 1960-1990s,  $B_{\text{tail}} < 0.001$ ). Mixed species forest  
282 supported the highest abundance of hollow-bearing trees ( $B_{\text{tail}} = 0.014$ ). There also was  
283 evidence that more large old hollow-bearing trees occurred on sites located within reserves  
284 than sites in wood production forest ( $B_{\text{tail}} = 0.002$ ). We also found evidence of a quadratic  
285 relationship for  $\mathbf{P}$  (i.e. the combination of eastern aspect and slope) ( $B_{\text{tail}} = 0.033$ ), with the  
286 highest abundance of large old hollow-bearing trees on steep slopes with either an easterly or  
287 westerly aspect. In addition, there was evidence that sites subject to moderate severity fire in  
288 2009 supported the fewest large old hollow-bearing trees (moderate severity vs unburned,  
289  $B_{\text{tail}} < 0.001$  and moderate severity vs high severity,  $B_{\text{tail}} = 0.019$ ). We summarize effects in  
290 Figure 3 with the accompanying model given in Table A.1 in Appendix A.

291 We assessed the effect of spatial dependence on our conclusions and found that,  
292 qualitatively, the spatial results were very similar to the negative binomial model (i.e. in the  
293 absence of spatial effects) (Table A.6). The exception was forest type which was  
294 characterized by weak support in the spatial model while the non-spatial model was  
295 characterized by moderate support. The associated semi-variogram is given in Figure A.1 and  
296 the effective range was approximately 2km (Table A.6). This suggests that the results of the  
297 non-spatial model are valid with the possible exception of forest type for which the results  
298 might be over stated.

299 **Q2. Do different factors or combinations of factors influence the abundance of different**  
300 **forms of large old trees?**

301 Our data showed that 41% of large old hollow-bearing trees standing in 1997 had  
302 collapsed by 2015. Our initial sample of trees in 1997 contained no collapsed trees, yet by  
303 2015 a total of 57% of large old hollow-bearing trees were in form 9 (collapsed) on young-  
304 aged forest sites. The equivalent values for old-growth sites, 1939 aged sites, and mixed aged  
305 sites were 16%, 53% and 26%, respectively. From our cross-sectional analyses of the  
306 abundance of collapsed trees in 2015, we found that sites characterized by the most collapsed  
307 large old hollow-bearing trees had low values for TWI ( $B_{tail} = 0.012$ ) and were subject to  
308 moderate severity fire in 2009 (moderate severity vs unburned,  $B_{tail} = 0.001$ ; moderate  
309 severity vs high severity,  $B_{tail} = 0.032$ ). In addition, sites characterized by the largest number  
310 of collapsed large old trees were dominated by young forest (dating from 1960-1990) and  
311 stands dating from the 1939 fires (old-growth vs 1939,  $B_{tail} < 0.001$ ; old-growth vs 1960-  
312 1990s,  $B_{tail} < 0.001$ ; mixed-aged vs 1939,  $B_{tail} < 0.001$ ; mixed-aged vs 1960-1990s,  $B_{tail} <$   
313  $0.001$ ). Finally, we uncovered evidence of quadratic effects of **P** ( $B_{tail} = 0.039$ ) and **Q** ( $B_{tail}$   
314  $= 0.040$ ), with the highest number of collapsed trees on flatter sites. We present trend patterns  
315 for these effects in Figure 4 and the associated model in Table A.2.

316 Our analyses revealed three predictor variables – stand age, fire severity and **P** were  
317 associated with the abundance of large old living hollow-bearing trees per site. There was a  
318 higher proportion of large old living hollow-bearing trees in old-growth stands than sites  
319 dating from 1939, 1960-1990, and mixed aged forest (old-growth vs 1939,  $B_{tail} = 0.004$ ; old-  
320 growth vs 1960-1990s,  $B_{tail} = 0.005$ ; mixed-aged vs 1939,  $B_{tail} < 0.001$ ; mixed-aged vs  
321 1960-1990s,  $B_{tail} = 0.002$ ). Our analyses revealed a higher abundance of living large old  
322 hollow-bearing trees on unburned sites versus those that burned at high severity ( $B_{tail} <$   
323  $0.001$ ). In addition, there were fewer large old living hollow-bearing trees on sites burned at  
324 moderate severity vs those burned at moderate severity ( $B_{tail} = 0.001$ ). Finally, we identified  
325 a quadratic effect of **P** with a higher abundance of large old living hollow-bearing trees on  
326 flatter sites ( $B_{tail} = 0.044$ ) (Figure 5, Table A.3).

327 Our analyses revealed that sites with the greatest fraction of trees potentially suitable  
328 for marsupial gliders (i.e. forms 2-4 in Figure 1) were old-growth and mixed aged stands  
329 (old-growth vs 1939,  $B_{tail} < 0.001$ ; old-growth vs 1960-1990s,  $B_{tail} < 0.001$ ; mixed-aged vs  
330 1939,  $B_{tail} < 0.001$ ; mixed-aged vs 1960-1990s,  $B_{tail} = 0.002$ ). In addition, sites with the  
331 greatest fraction of trees potentially suitable for marsupial gliders had been subject to high  
332 severity fire in 2009 (high severity vs unburned,  $B_{tail} = 0.002$  and high severity vs moderate  
333 severity,  $B_{tail} = 0.008$ ) and were at low elevation ( $B_{tail} = 0.019$ ) (Figure 6, Table A.4).

334 The model for the abundance of possum-suitable large old hollow-bearing trees on a  
335 site (i.e. trees in forms 5-8; see Figure 1) included fire severity, **P** and TWI as predictor  
336 variables. There was a higher proportion of possum-suitable large old hollow-bearing trees  
337 on unburned sites versus those that burned at moderate severity ( $B_{tail} < 0.001$ ) and at high  
338 severity ( $B_{tail} < 0.001$ ). We found evidence of a quadratic effect of **P** ( $B_{tail} = 0.068$ )  
339 corresponding to a lower proportion of possum-suitable large old hollow-bearing trees on  
340 steeper east and west-facing sites. There also was a higher proportion of possum-suitable

341 large old hollow-bearing trees on sites with high values for TWI (Btail = 0.057) (Figure 7,  
342 Table A.5).

## 343 **1.5 Discussion**

344 Large old hollow-bearing trees have pivotal ecological roles in numerous natural and  
345 human-modified terrestrial ecosystems worldwide (Manning et al., 2006; Fischer et al., 2010;  
346 Lindenmayer et al., 2014b). Understanding where such trees occur and the factors which  
347 influence their abundance has significant implications for determining where their various  
348 ecological roles will be most effectively played. This information can, in turn, influence  
349 where additional management actions might be required such as efforts to restore populations  
350 of large old hollow-bearing trees where they have been depleted. We found the abundance of  
351 large old hollow-bearing trees is governed by a suite of factors including measures of  
352 topography, age of the surrounding forest, the severity of past fire, and human disturbance  
353 history (as reflected by land tenure). Different sets of environmental and other factors affect  
354 the abundance of different morphological forms of large old hollow-bearing trees. That is,  
355 different parts of landscapes are most likely to support different kinds of trees, for example,  
356 as reflected through measures such as TWI, **P**, **Q**, and elevation.

### 357 **1.5.1 Factors affecting the abundance of large old hollow-bearing trees**

358 Statistical analyses revealed that models of the abundance of large old hollow-bearing  
359 trees as well as the abundance of particular forms of these trees contained a combination of  
360 environmental, human disturbance and natural disturbance covariates. Previous work has  
361 modeled the environmental domains occupied by different species of trees in montane ash  
362 forests (Lindenmayer et al., 1996) and the analyses we report here suggest that the large old  
363 hollow-bearing tree stage occupies a subset of the overall climatic and environmental  
364 envelope for a given tree species. That is, large old hollow-bearing trees occur in parts of  
365 landscapes that are relatively predictable based on environmental and other information (see

366 also Smith et al., 2012). However, rare and episodic events such fires also have marked  
367 effects on the abundance of large old trees, but the precise timing and severity of fires is  
368 notoriously difficult to predict accurately.

369 Many of the response variables in the statistical models were broadly consistent with  
370 expectations. For example, old forest supported the greatest overall abundance of large old  
371 hollow-bearing trees (irrespective of morphological form). Old-growth forest also was where  
372 the greatest abundance of large old living hollow-bearing trees occurred. Both of these results  
373 are broadly congruent with the known ontogeny of increasing cavity development and  
374 increasing tree age in montane ash forests (Ambrose, 1982; Lindenmayer et al., 1993).  
375 Conversely, young forest supported the fewest large old hollow-bearing trees. Relatively  
376 recent fire and/or logging is likely to have reduced the abundance of these trees in young  
377 forest, congruent with some of our other findings such as those indicating that the greatest  
378 number of collapsed trees occurred in young forest (Figure 4, Table A.2). This is reflected in  
379 the simplified conceptual model in Figure 8 which shows relationships between disturbance  
380 and large old tree abundance. Essentially, large old trees in young forest are more likely to  
381 collapse because they are in a later decay state than those in old forest, typically being  
382 biological legacies of the previous forest generation killed by the most recent fire.

383 The highest abundance of large old hollow-bearing trees was on the wettest sites as  
384 reflected by high values for TWI. Such places are typically those with the highest rates of tree  
385 growth and also where previous analyses indicated old-growth forests are most likely to  
386 occur (Mackey et al., 2002). They also may be where fire frequency and/or fire severity may  
387 be the lowest.

388 An initially surprising result was that fewer large old hollow-bearing trees were found  
389 on sites subject to moderate severity fire compared with sites where there had been a high-  
390 severity fire. This finding can be attributed to differential fire severity effects on living vs

391 dead trees (Figure 8). Moderate severity fire may leave large living trees relatively intact but  
392 consume pre-existing dead trees on a site, possibly as a result of the flammability of dead  
393 standing wood. High severity fire may not only consume dead trees but also create a new  
394 cohort of dead trees as a result of killing large living trees (Figure 8). Finally, forest in  
395 protected areas (such as that managed by Parks Victoria) supported more large old hollow-  
396 bearing trees (as well as more dead trees) than State Forests where timber harvesting is  
397 permitted. This result was expected given the long history of logging in forests broadly  
398 designated for wood production and the effects of harvesting, which historically targeted the  
399 largest trees and, more recently, has focused on cutting regrowth trees (thereby impairing the  
400 recruitment of new cohorts of large old hollow-bearing trees). In addition, there is a  
401 prolonged history in logged areas of poor protection of large old hollow-bearing trees from  
402 mechanical disturbance by harvesting machinery and from high-intensity regeneration burns  
403 deliberately lit to promote the regeneration of cutblocks (Lindenmayer et al., 2015).

404 Large old hollow-bearing trees occur in different morphological forms in montane ash  
405 forests (Figure 1) and we found that both: (1) different factors can influence large old hollow-  
406 bearing trees at different stages of decay, and (2) the same factors can affect different kinds  
407 of trees in different ways. This finding is broadly similar to the results of studies of the  
408 regeneration niche of Victorian Mountain Ash (Smith et al., 2016) which demonstrated how  
409 different factors affect the prevalence of post-fire germinants. Fire is a useful illustrative case,  
410 especially as it was an important predictor in each of the models we constructed but its effects  
411 varied among morphological classes. Its effects also varied depending on whether the fire  
412 was moderate or high severity at a given site. Fire may kill living trees and create a pulse of  
413 large dead trees (Figure 8). Conversely, existing dead trees may be consumed by a moderate  
414 or high severity fire. This, in turn, explains why burned sites supported a higher proportion of  
415 glider-suitable trees but a lower proportion of possum-suitable trees (Figure 6 vs Figure 7),

416 although we re-iterate that such trees were only considered to be potentially suitable for  
417 animals as other factors such as direct mortality resulting from fire and the development of  
418 post-fire natural regeneration also can influence both site occupancy and the suitability of  
419 burned sites for colonization (Lindenmayer et al., 2013). The pervasive effects of fire on both  
420 the overall abundance of large old hollow-bearing trees and the abundance of different  
421 morphological forms of such trees underscores its importance as a key ecological process in  
422 montane ash forests.

### 423 **1.5.2 Management implications**

424 Large old hollow-bearing trees can be a robust surrogate for the occurrence of cavity-  
425 dependent animals such as arboreal marsupials in montane ash forests, including the critically  
426 endangered Leadbeater's Possum (Lindenmayer et al., 2014a). At the same time, logging  
427 operations can significantly reduce the abundance of large old trees, either directly through  
428 harvesting or indirectly via the impacts of fires lit to regenerate cutover areas or edge effects  
429 like windthrow created by cutting stands adjacent to retained forest (Lindenmayer et al.,  
430 1997). Collectively, these outcomes mean it can be valuable to better understand where large  
431 old hollow-bearing trees are most likely to occur in the landscape. Such places can then be  
432 critical ones to protect, especially as populations of large old hollow-bearing trees are  
433 declining rapidly across much of the montane ash forest estate in the Central Highlands of  
434 Victoria (Lindenmayer et al., 2012a; Burns et al., 2015). Indeed, more than more than 40% of  
435 trees have collapsed in the 18 years since we commenced monitoring. Burns et al. (2015)  
436 considered that the decline of large old hollow-bearing trees was so precipitous and the  
437 subsequent impacts on key ecosystem processes sufficiently serious that they classified  
438 Mountain Ash forests as Critically Endangered under the formal IUCN Ecosystem  
439 Assessment protocol. Approximately 80% of montane ash forest estate is broadly designated

440 for wood production and our analyses can help identify potential candidate areas within these  
441 State Forests for protection of large old hollow-bearing trees.

442 The greatest number of collapsed trees was found in young forest that is ~30-50 years old,  
443 followed by 75 year old forest that regenerated after the 1939 fires (Figure 4), yet these age  
444 cohorts of forest comprise the vast majority of the existing montane ash forest estate in the  
445 Central Highlands of Victoria. Conversely, only 1.16% of the forest estate is old-growth  
446 where the greatest overall abundance of large old hollow-bearing trees occurs. Stands of old-  
447 growth forest that are 5 ha or larger are currently not logged. However, the vast majority of  
448 old-growth montane ash forest stands are far smaller than this, including individual large old  
449 trees within younger regrowth (Lindenmayer et al., 2015). The importance of the remaining  
450 (but rapidly declining) large old hollow-bearing tree cohort means these smaller areas of old-  
451 growth and individual trees need to be protected. Similarly, areas with high values for TWI  
452 might be prioritized for protection as these are where the abundance of large old hollow-  
453 bearing trees is currently the greatest. Old-growth stands are currently not logged directly, but  
454 large old trees continue to be lost across the landscape as a result of the indirect impacts of  
455 timber harvesting operations.

456 Unburned sites supported the greatest number of large old hollow-bearing trees (Figure  
457 3) as well as the greatest number of possum-suitable trees (Figure 7). It is well established  
458 that large old hollow-bearing trees are critical nest sites for critically endangered cavity-  
459 dependent species like Leadbeater's Possum (Lindenmayer et al., 1991b) and the abundance  
460 of these trees underpins habitat suitability for such animals (Lindenmayer et al., 2014a),  
461 although we acknowledge other factors like the mortality of animals following fire will mean  
462 that potentially suitable trees will remain unoccupied on recently burned sites (Lindenmayer  
463 et al., 2013). We suggest that, along with large and small patches of old-growth forest,  
464 unburned areas of montane ash forests are strong candidates for protection from logging to

465 ensure the maintenance of relatively high numbers of large old hollow-bearing trees and to  
466 facilitate the maturation of younger regrowth stands such as those that regenerated after the  
467 1939 fires into future old-growth forest.

## 468 **1.6. Conclusions**

469 Large old hollow-bearing trees are critical structures in forest ecosystems worldwide  
470 and identifying the factors which influence their abundance is important for informed forest  
471 management practices. We constructed statistical models of the environmental, human  
472 disturbance and natural disturbance factors influencing the abundance of different  
473 morphological forms of large old hollow-bearing trees in the montane ash forests of the  
474 Central Highlands of Victoria, south-eastern Australia. Our detailed analyses revealed that  
475 different sets of environmental factors, as well as variables associated with fire severity and  
476 land tenure, had important effects on the abundance of four key kinds of large old hollow-  
477 bearing trees in these forests – large old living trees, collapsed trees, large old glider-suitable  
478 trees, and large old possum-suitable trees. Our models, in turn, can be valuable for identifying  
479 parts of montane ash forest landscapes to protect and hence best conserve large old hollow-  
480 bearing trees. These areas include old-growth stands, unburned forest, and locations with  
481 high values for the TWI.

## 482 **Acknowledgments**

483 Work reported in this paper was informed by detailed discussions with Jerry Franklin, Bill  
484 Laurance, Ross Cunningham and a large number of other colleagues. Claire Shepherd and  
485 Tabitha Boyer kindly assisted in manuscript preparation. Research in the wet forests of  
486 Victoria, including that on large old hollow-bearing trees, has been supported by the  
487 Australian Research Council Discovery Program, the Australian Government's National  
488 Environmental Science Program's Threatened Species Recovery Hub, the Victorian  
489 Department of Environment, Land, Water and Planning, Parks Victoria, the Graeme Wood

490 Foundation, and the Long-term Ecological Research Network within the Terrestrial  
 491 Ecosystem Research Network.

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647 **Table 1.** Descriptive statistics for elevation, topographic wetness Index (TWI), and **P** and **Q**.  
 648 **P** and **Q** are continuous functions of landscape position, with largest magnitude on steepest  
 649 slopes, and reducing to zero on flat areas in valley bottoms and on flat ridgetops. **P** can be  
 650 viewed as an aspect adjusted slope in the east-west direction (negative values correspond to  
 651 westward facing slopes, values near zero correspond to valley bottoms and ridges and  
 652 positive values correspond to eastward facing slopes). **Q** can be viewed as the aspect adjusted  
 653 slope in the north-south direction (negative values correspond to southward facing slopes,  
 654 values near zero correspond to valley bottoms and ridges and positive values correspond to  
 655 northward facing slopes).

	<b>Mean</b>	<b>SD</b>	<b>Median</b>	<b>Min</b>	<b>Max</b>
<b>Elevation</b>	951.73	185.82	854.3	303	1227
<b>TWI</b>	-1.15	0.96	-0.83	-3.06	1.64
<b>P</b>	-0.04	0.14	-0.02	-0.39	0.47
<b>Q</b>	-0.06	0.12	-0.01	-0.35	0.39

656

657 **Table 2.** Descriptive statistics for the number of hollow-bearing trees (HBT) per site and the  
 658 proportions of alive, collapsed, and glider and possum-suitable hollow-bearing trees by fire  
 659 severity, stand age, land tenure and forest type.

	No. Sites	Number of Hollow-bearing trees					Tree form			
		Mean	SD	Median	Min	Max	Live	Collapsed	Glider	Possum
<b>Overall</b>	166	5	5	3	0	29	21	41	31	23
<b>Fire Severity</b>										
Unburned	90	5	4	4	0	15	24	39	23	31
Moderate	46	3	4	2	0	20	25	55	29	11
Severe	30	7	9	2	0	29	9	33	52	14
<b>Stand Age</b>										
Old-growth	15	15	8	13	2	29	28	17	64	16
1939	102	3	4	2	0	14	16	54	16	25
1960-1990s	25	2	2	2	0	6	11	57	24	16
Mixed	24	8	4	8	1	17	33	26	43	24
<b>Land Tenure</b>										
State Forest	81	3	3	2	0	14	20	49	21	25
National Park	85	6	6	4	0	29	21	37	36	22
<b>Forest Type</b>										
Mountain Ash	127	5	5	3	0	27	22	40	33	21
Alpine Ash	28	5	6	2	0	29	15	46	26	24
Mixed	11	6	5	4	0	12	29	41	17	31

661 **Table 3.** Model selection results for the choice of distribution via WAIC. Note that the full  
 662 model was fit in each case with quadratic effects of the continuous variables. The full model  
 663 in each case is: Stand Age + Fire Severity + Land Tenure + Forest Type + Elevation + TWI  
 664 (topographic wetness index) + P + Q + Elevation<sup>2</sup> + TWI<sup>2</sup> + P<sup>2</sup> + Q<sup>2</sup>.

<b>Distribution</b>	<b>WAIC</b>
Poisson	976.91
Hurdle Poisson	958.76
Zero Inflated Poisson	959.77
Negative Binomial	<b>829.21</b>
Hurdle Negative Binomial	897.89
Zero Inflated Negative Binomial	830.15

665

666

667 **Figure 1.** Sequential development of tree forms in Mountain Ash trees. Form 1: Ecologically  
668 mature, living tree; Form 2: Mature living trees with a dead or broken top; Form 3: Dead tree  
669 with most branches still intact; Form 4: Dead tree with 0–25% of the top broken off; branches  
670 remaining as stubs only; Form 5: Dead tree with top 25–50% broken away; Form 6: Dead  
671 tree with top 50–75% broken away; Form 7: Solid dead tree with 75% of the top broken  
672 away; Form 8: Hollow stump. In subsequent surveys we added a ninth category – Form 9:  
673 Collapsed tree.

674

675 **Figure 2.** The location of the Central Highlands region of south-eastern Australia where  
676 studies of the abundance and transitions of large old hollow-bearing trees have been  
677 conducted.

678

679 **Figure 3.** Factors affecting the number of large old hollow-bearing trees (HBT) per hectare.  
680 In each panel, the other categorical variables are held fixed at the most common level and the  
681 continuous variables are set at the mean value. Posterior medians and 95% point-wise  
682 credible intervals are displayed on the original scale (the number of trees per sites). Panel A)  
683 Stand Age (OG = old-growth, 1939 = 1939 regrowth, Y = 1960-1990s regrowth, Mixed =  
684 Mixed age regrowth); B) Fire Severity (U = unburned, M = moderate, S = severe); C) Land  
685 Tenure (SF = State Forest and NP = National Park); D) Forest Type (MA = Mountain Ash,  
686 AA = Alpine Ash, M = Mixed Forest); E) P (east-west component of aspect adjusted slope:  
687 negative values correspond to westward facing slopes, values near 0 correspond to flat slopes  
688 and positive values correspond to eastward facing slopes); F) TWI (topographic wetness  
689 index, lower values correspond to drier conditions), and; G) Elevation.

690

691 **Figure 4.** Factors affecting the proportion of collapsed large old hollow-bearing trees (HBT)  
692 per site. In each panel, the other categorical variables are held fixed at the most common level  
693 and the continuous variables are set at the mean value. Posterior medians and 95% point-wise  
694 credible intervals are displayed on the proportional scale. Panel A) Stand Age (OG = old-  
695 growth, 1939 = 1939 regrowth, Y = 1960-1990s regrowth, Mixed = mixed-age regrowth); B)  
696 Fire Severity (U = unburned, M = moderate, S = severe); C) P (east-west component of  
697 aspect adjusted slope: negative values correspond to westward facing slopes, values near 0  
698 correspond to flat slopes and positive values correspond to eastward facing slopes); D) Q  
699 (north-south component of aspect adjusted slope: negative values correspond to southward  
700 facing slopes, values near 0 correspond to flat slopes and positive values correspond to  
701 northward facing slopes), and; E) TWI (topographic wetness index, lower values correspond  
702 to drier conditions).

703

704 **Figure 5.** Factors affecting the abundance of large old living hollow-bearing trees (HBT) per  
705 site. In each panel, the other categorical variables are held fixed at the most common level  
706 and the continuous variables are set at the mean value. Posterior medians and 95% point-wise  
707 credible intervals are displayed on the proportional scale. Panel A) Stand Age (OG = old-  
708 growth, 1939 = 1939 regrowth, Y = 1960-1990s regrowth, Mixed = mixed-age regrowth); B)  
709 Fire Severity (U = unburned, M = moderate, S = severe), and; C) P (east-west component of  
710 aspect adjusted slope: negative values correspond to westward facing slopes, values near 0  
711 correspond to flat slopes and positive values correspond to eastward facing slopes).

712

713 **Figure 6.** Factors affecting the proportion of glider-suitable large old hollow-bearing trees  
714 (HBT) per site. In each panel, the other categorical variables are held fixed at the most  
715 common level and the continuous variables are set at the mean value. Posterior medians and

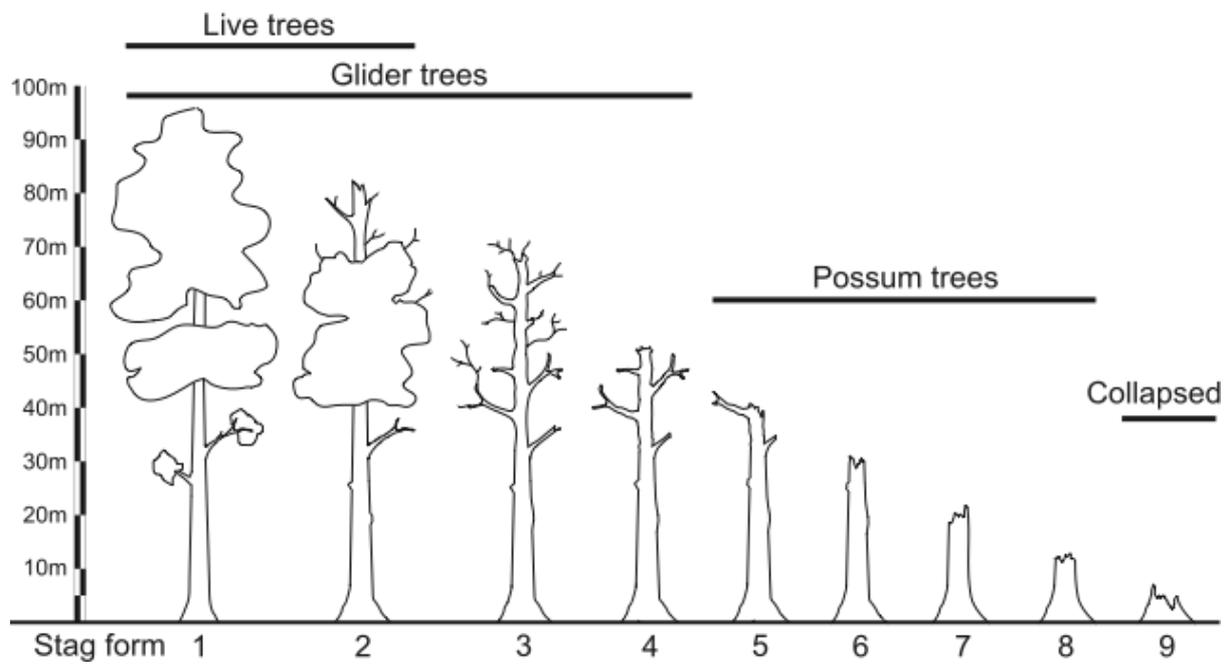
716 95% point-wise credible intervals are displayed on the proportional scale. Panel A) Stand  
717 Age (OG = old-growth, 1939 = 1939 regrowth, Y = 1960-1990s regrowth, Mixed = mixed-  
718 age regrowth); B) Fire Severity (U = unburned, M = moderate, S = severe), and; C)  
719 Elevation.

720

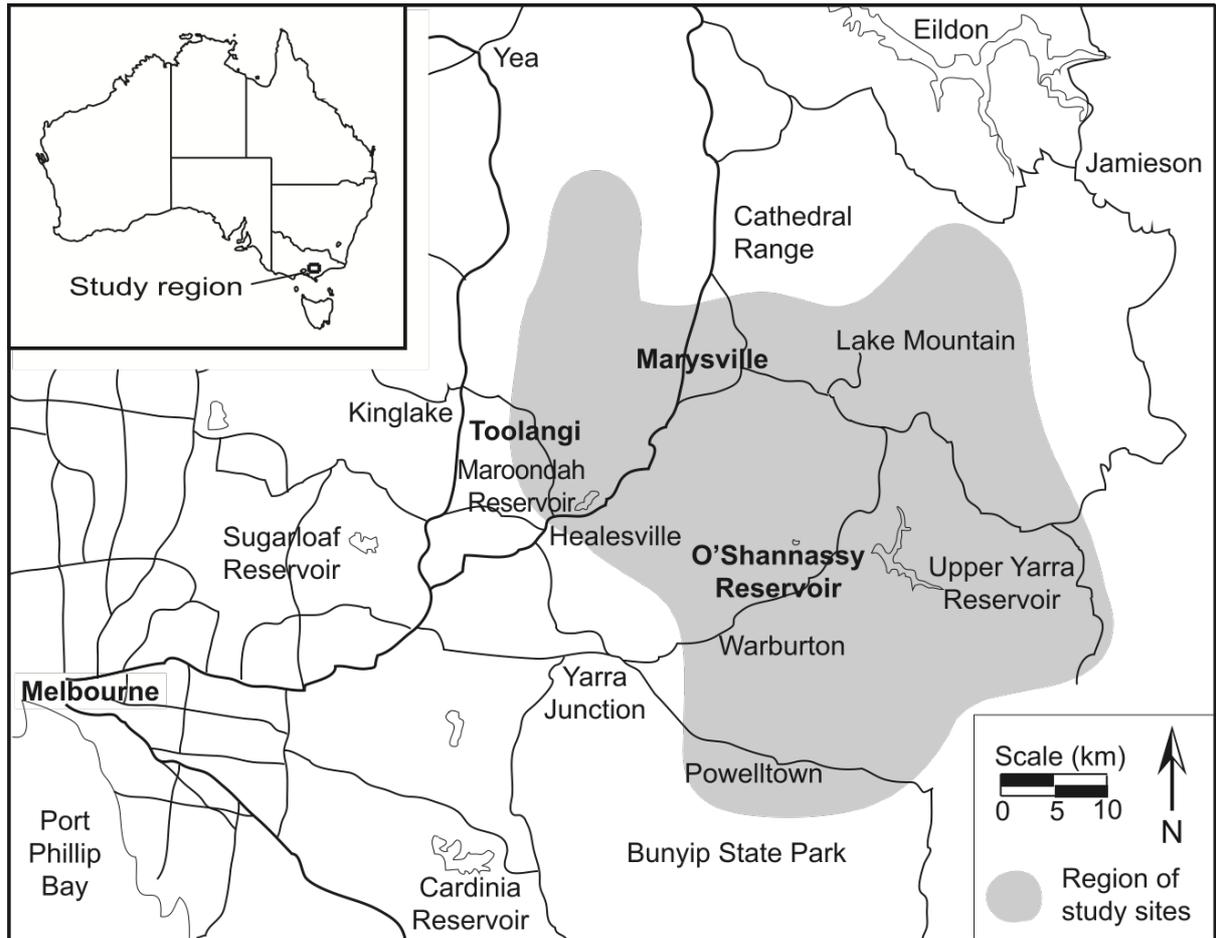
721 **Figure 7.** Factors affecting the abundance of possum-suitable large old hollow-bearing trees  
722 (HBT) per site. In each panel, the other categorical variables are held fixed at the most  
723 common level and the continuous variables are set at the mean value. Posterior medians and  
724 95% point-wise credible intervals are displayed on the proportional scale. Panel A), Fire  
725 Severity (U = unburned, M = moderate, S = severe); B) P (east-west component of aspect  
726 adjusted slope: negative values correspond to westward facing slopes, values near 0  
727 correspond to flat slopes and positive values correspond to eastward facing slopes), and; C)  
728 TWI (topographic wetness index, lower values correspond to drier conditions).

729

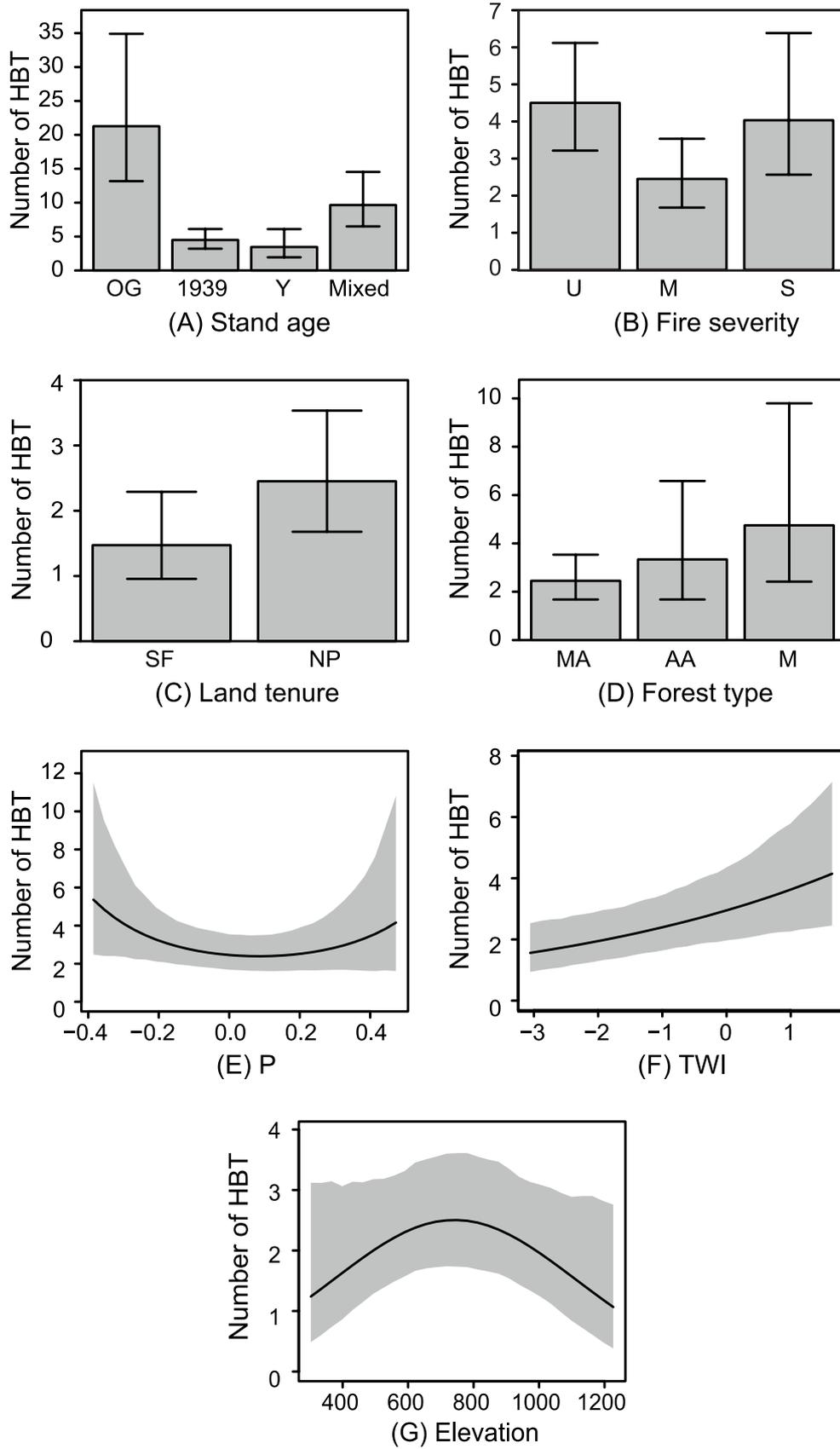
730 **Figure 8.** Conceptual model of the inter-relationships between stand age, disturbance and the  
731 abundance of different forms of large old hollow-bearing trees.



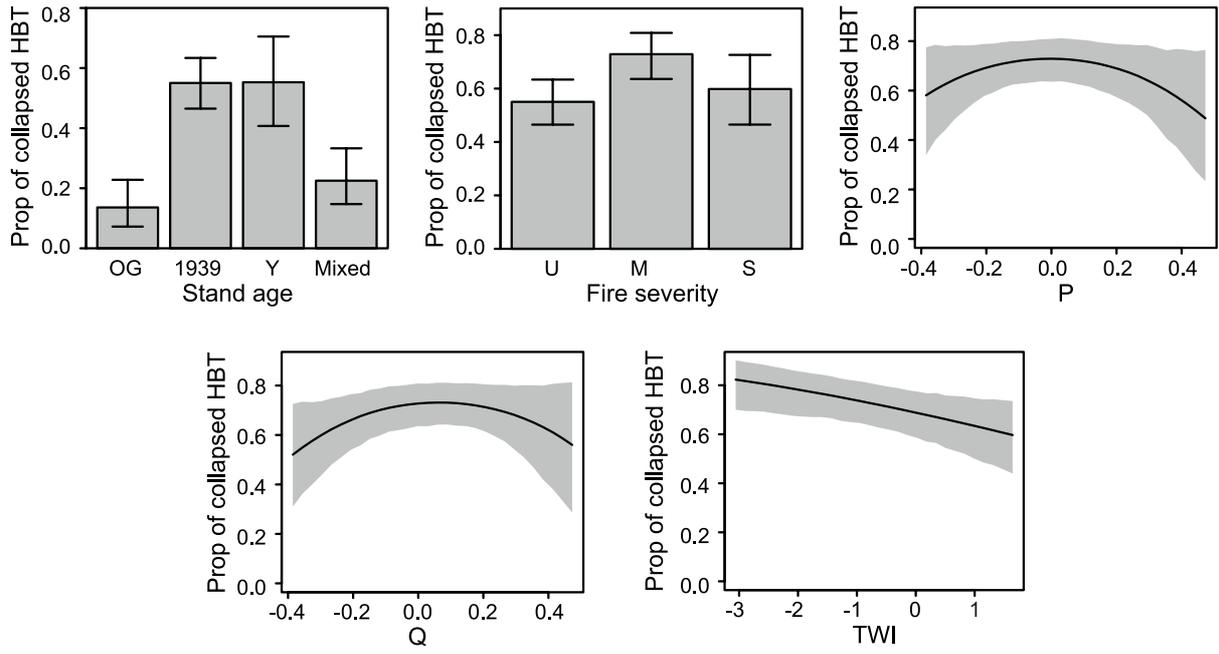
**Figure 1**



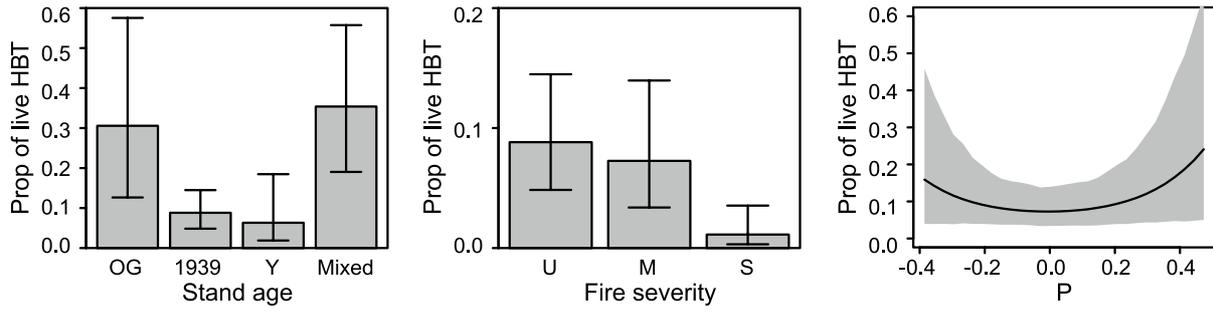
**Figure 2**



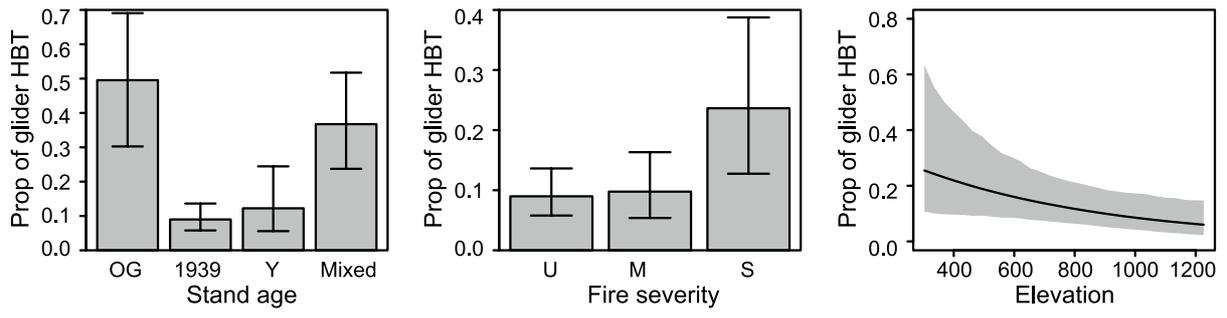
**Figure 3**



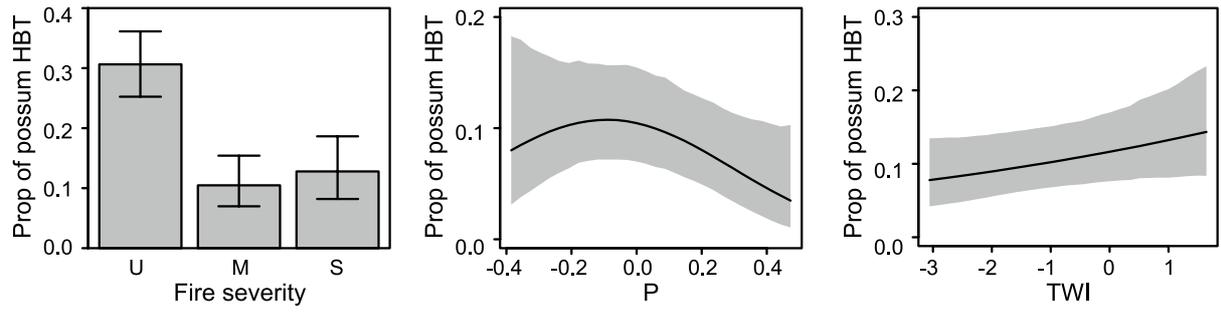
**Figure 4**



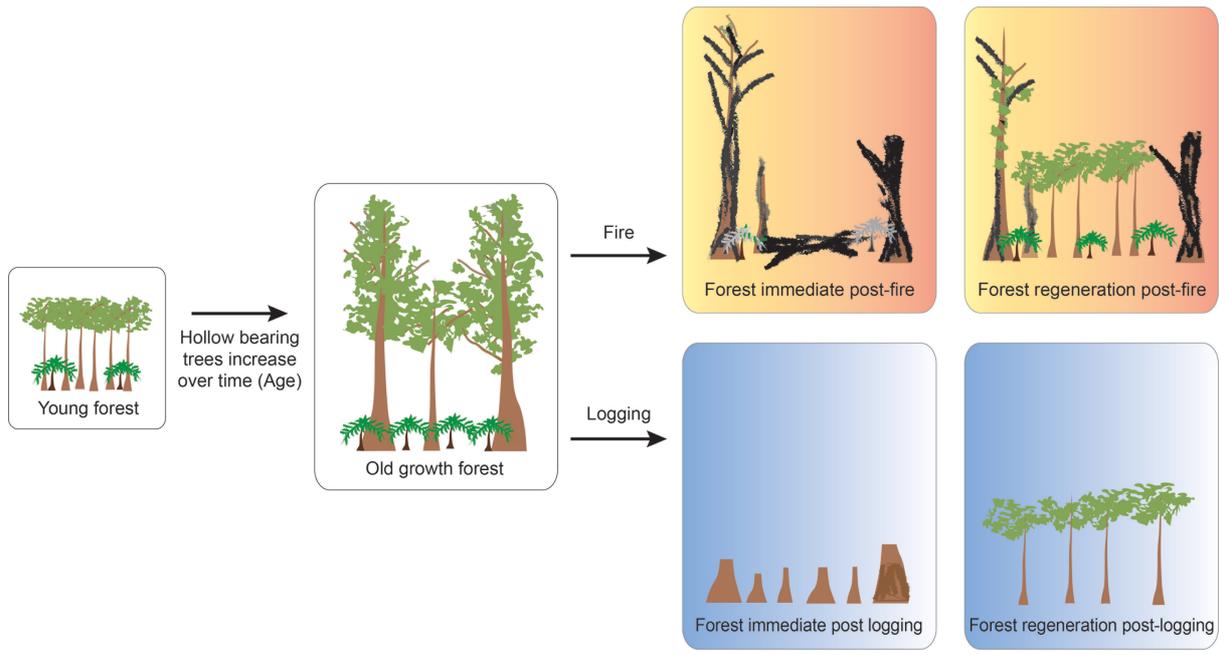
**Figure 5**



**Figure 6**



**Figure 7**



**Figure 8**